



**D. BORAL**  
— CAPITAL —

Rule 606(a) of SEC Regulation NMS requires broker-dealers receiving non-directed client orders to publicly disclose, on a quarterly basis, the top execution venues to which such orders are routed for execution. Please visit:

[disclosure.bestxstats.com/dbch](https://disclosure.bestxstats.com/dbch)

For accounts held at Axos Clearing LLC:

Current Axos Clearing 606 reports can be located [here](#).

Historical Axos Clearing 606 reports are located at [here](#).