



D. BORAL
— CAPITAL —

Rule 606(a) of SEC Regulation NMS requires broker-dealers receiving non-directed client orders to publicly disclose, on a quarterly basis, the top execution venues to which such orders are routed for execution.

For accounts held at RBC Capital Markets, LLC, please visit:

<http://external.s3.com/rule606/rbcwm/correspondentsvcs/>

For accounts held at Axos Clearing LLC:

Current Axos Clearing 606 reports can be located [here](#).

Historical Axos Clearing 606 reports are located at [here](#).